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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	
				Filing Date	
				First Named Inventor	Dingjun Wu, et al.
				Art Unit	
				Examiner Name	
Sheet	1	of	2	Attorney Docket Number	06457 USA

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
KMS		US-	4,529,474	7/16/1985	Y. Fujiyama, et al.	
KMS		US-	4,576,698	3/18/1986	J. P. Gallagher, et al.	
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KMS		US-	2003/0235695 A1	12/25/2003	C. B. Greenberg, et al.	
		US-				
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> <small>(if known)</small>				
KMS		JP 61-106780		Japan (abstract)		

Examiner Signature	/Kelly Stouffer/	Date Considered	09/12/2006
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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**First Named Inventor**

Dingjun Wu, et al.

**Art Unit**

Examiner Name \_\_\_\_\_

Sheet

2

of

2

Attorney Docket Number

**06457 USA**

## NON PATENT LITERATURE DOCUMENTS

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Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

 $T^2$ 

**KMS**

"Structured Coatings and Nano-Engineered Materials," *CERAC Inc.*, Vol. 12, #4 (2002)

**KMS**

G. DANG, et al., "Comparison of Dry and Wet Etch Processes for Patterning SiO<sub>2</sub>/TiO<sub>2</sub> Distributed Bragg Reflectors for Vertical-Cavity Surface-Emitting Lasers, *Journal of the Electrochemical Society*, 148 (2), p. G25-G28 (2001).

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Y. KUO, "Plasma Etching Mechanism of Metal Oxides Derived from RIE of Ta<sub>2</sub>O<sub>5</sub> and TiO<sub>2</sub>," *Electrochemical Society Proceedings*, Vol. 96, 12, p. 536-544.



**KMS**

S. NORASETTHEKUL, et al., "Dry Etch Chemistries for TiO<sub>2</sub> thin films, *Applied Surface Science*, 185, p. 27-33 (2001).



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T. SHIANO, et al., "Etching of (Ba,Sr)TiO<sub>3</sub> Film by Chlorine Plasma," *J. Vac. Sci. Techno.*, A 18(5), p. 2080-2084 (2000).

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**Examiner  
Signature**

/Kelly Stouffer/

Date \_\_\_\_\_

**Considered**

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